NOTICES FROM MEMBER STATES

INFORMATION NOTE

Information on measures adopted by Member States in conformity with Articles 5, 6, 8, 9, 10, 17 and 22 of Council Regulation (EC) No 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items

(2016/C 304/03)

Articles 5, 6, 8, 9, 10, 17 and 22 of Council Regulation (EC) No 428/2009 (hereunder ‘the Regulation’) set out that measures taken by Member States in implementation of the Regulation should be published in the Official Journal of the European Union.

1. INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 5(2) OF THE REGULATION (EXTENSION OF BROKERING CONTROLS)

Article 5(2) in conjunction with Article 5(4) of the Regulation requires the Commission to publish measures taken by Member States to extend the application of Article 5(1) to non-listed dual-use items for uses referred to in Article 4(1) and to dual-use items for military end use and destinations referred to in Article 4(2).

The table below provides an overview of the measures taken by Member States and that have been notified to the Commission. The detailed measures as notified to the Commission are set out immediately thereafter.

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1.1. **Bulgaria**

An authorisation shall be required for the brokering of dual-use items:

1. listed in Annex I of the Regulation, when the items are or may be intended for the uses specified in Article 4(2) of that Regulation;

2. not listed in Annex I of the Regulation, when the items are or may be intended for the uses specified in Article 4(1) of that Regulation;

(Article 34, paragraph 4 of the Defence-Related Products and Dual-Use Items and Technologies Export Control Act, State Gazette No. 26/29.03.2011, effective 30.6.2012).

1.2. **Czech Republic**

An authorisation shall be required for the brokering of dual-use items if the Ministry informs the broker that:

1. dual-use items not listed in Annex I of the Regulation are or could be intended, wholly or in part, for use pursuant to Article 4(1) of that Regulation;

2. dual-use items are or could be intended, wholly or in part, for military end-uses specified in Article 4(2) of the Regulation;

(paragraph 3 of Act No 594/2004 Coll., Implementing the European Community Regime for the Control of Exports, Transfer, Brokering, and Transit of Dual-Use Items (as amended)).

1.3. **Estonia**

An authorisation shall be required for the brokering of dual-use items which have characteristics of strategic goods because of their end-use or end-user, public security or human rights consideration, although they have not been entered in the list of strategic goods (paragraph 6 (7) of the Strategic Good Act).
1.4. **Ireland**

An authorisation shall be required for the brokering of dual-use items not listed in Annex I of the Regulation, for any of the uses referred to in Article 4(1) of that Regulation and for dual-use items for military end-uses and destinations referred to in Article 4(2) of the Regulation, (Sections 8(a) and (b) of Statutory Instrument 443 of 2009, Control of Exports (Dual-Use Items) Order 2009, as amended).

1.5. **Greece**

An authorisation shall be required for the brokering of listed dual-use items for military end-uses and destinations referred to in Article 4(2) of the Regulation (paragraph 3.2.3 of ‘Ministerial Decision No 121837/21837/28-9-2009’).

1.6. **Spain**

An authorisation shall be required for the brokering of listed dual-use items for military end-uses and destinations referred to in Article 4(2) of the Regulation (Article 2(6) of the Royal Decree 679/2014, of 1 August 2014 on the control of external trade in defence material, other material and dual-use goods and technologies).

1.7. **Croatia**

An authorisation shall be required for the brokering of dual-use items not listed in Annex I of the Regulation, if the Ministry of Foreign and European Affairs informs the broker that dual-use items are or may be, in whole or in part, used for the purposes set forth in Article 4(1) and (2) of the Regulation (Act on Control of dual-use items (OG 80/11 i 68/2013)).

1.8. **Latvia**

In accordance with the Latvian Law on the Circulation of Strategic Goods, all brokering transactions are controlled for dual-use items regardless of their use.

1.9. **Hungary**

An authorisation shall be required for the brokering of dual-use items:

1. listed in Annex I of the Regulation, when the items are or may be intended for the uses specified in Article 4(2) of that Regulation;

2. not listed in Annex I of the Regulation, when the items are or may be intended for the uses specified in Article 4(1) and (2) of that Regulation.

(paragraph 17.1 of Government Decree No 13 of 2011 on the foreign trade authorisation of dual-use items).

1.10. **Netherlands**

An authorisation shall be required for the brokering of dual-use items:

1. listed in Annex I of the Regulation, when the items are or may be intended for the uses specified in Article 4(2) of that Regulation;

2. not listed in Annex I of the Regulation, when the items are or may be intended for the uses specified in Article 4(1) and (2) of that Regulation. (Strategic Services Act — Wet strategische diensten)

Authorisation requirements have been imposed for brokering services of 37 chemical substances when the destination is Iraq, regardless of the specific consignee or end-user. (Decree Goods for Dual-Use Iraq — Regeling goederen voor tweeërlei gebruik Irak)

1.11. **Austria**

An authorisation shall be required for the brokering of dual-use items if the Federal Minister for Science, Research and Economy notifies the broker that the items in question are or may be intended for uses referred to in Article 4(1) and (2) of the Regulation (Article 15.1 of the Foreign Trade Act (Außenwirtschaftsgesetz 2011, BGBl. I Nr. 26/2011)).
1.12. **Romania**

An authorisation shall be required for the brokering of dual-use items not listed in Annex I of the Regulation if the items in question are or may be intended, in their entirety or in part, for any uses referred to in Article 4(1) and (2) of the Council Regulation. (Article 14 (2) of the Emergency Order No 119 of 23 December 2010 (GEO No 119/2010) on the ‘control regime for operations concerning dual-use items’).

1.13. **Finland**

An authorisation shall be required for brokering of dual-use items:

1. listed in Annex I of the Regulation, if the broker has been notified by the Ministry for Foreign Affairs that the items are or may be intended wholly or partly for the uses specified in Article 4(2) of that Regulation;

2. not listed in Annex I of the Regulation, if the broker has been notified by the Ministry for Foreign Affairs that the items are or may be intended wholly or partly for the uses specified in Article 4(1) of that Regulation;

(paragraphs 3(2) and 4(1) of Law 562/1996 (as amended)).

2. **INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 5(3) OF THE REGULATION (EXTENSION OF BROKERING CONTROLS)**

Article 5(3) in conjunction with Article 5(4) of the Regulation requires the Commission to publish measures taken by Member States imposing an authorisation requirement on the brokering of dual-use items, if the broker has grounds for suspecting that these items are or may be intended for any of the uses referred to in Article 4(1).

The table below provides an overview of the measures taken by Member States and that have been notified to the Commission. The detailed measures as notified to the Commission are set out immediately thereafter.

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2.1. **Bulgaria**

An authorisation shall be required for brokering of dual-use items if the broker has grounds for suspecting that the items are wholly or in part intended for any of the uses listed in Article 4(1) of the Regulation (Article 47 of the Defence-Related Products and Dual-Use Items and Technologies Export Control Act (Promulgated, State Gazette No. 26/29.03.2011)).

2.2. **Czech Republic**

If a broker has grounds for suspecting that dual-use items are wholly or in part intended for any of the uses listed in Article 4(1) of the Regulation, the broker shall notify the competent authority, which may decide to impose an authorisation requirement (paragraph 3(4) of the Act No 594/2004 Coll. 'Implementing the European Community Regime for the Control of Exports, Transfer, Brokering, and Transit of Dual-Use Items' (as amended)).

2.3. **Estonia**

If a broker has grounds for suspecting that dual-use goods are or may be intended, wholly or in part, for any of the uses listed in Article 4(1) of the Regulation, the broker shall notify the Strategic Goods Commission (SGC), police authorities or security authorities immediately thereof. After such notification, the SGC may decide to impose an authorisation requirement (paragraph 77 of the Strategic Goods Act).

2.4. **Ireland**

An authorisation shall be required for brokering of dual-use items if the broker has grounds for suspecting that the items are wholly or in part intended for any of the uses listed in Article 4(1) of the Regulation (Section 9 of Statutory Instrument 443 of 2009, Control of Exports (Dual-Use Items) Order 2009, as amended).
2.5. **Greece**

An authorisation shall be required for brokering of dual-use items if the broker has grounds for suspecting that the items are wholly or in part intended for any of the uses listed in Article 4(1) of the Regulation (paragraph 3.2.2 of the Ministerial Decision No 121837/ε3/21837/28-9-2009).

2.6. **Croatia**

If a broker has grounds for suspecting that dual-use goods not listed in Annex I of the Regulation (EC) No 428/2009, are or may be intended, wholly or in part, for any of the uses listed in Article 4(1) and (2) of the Regulation, the broker shall notify the Ministry of Foreign and European Affairs, which may decide to impose an authorisation requirement (paragraph 3 of the Act on Control of dual-use items (OG 80/11 i 68/2013).

2.7. **Latvia**

In accordance with the Latvian Law on the Circulation of Strategic Goods, all brokering transactions are controlled for dual-use items regardless of their use.

2.8. **Hungary**

An authorisation shall be required for brokering of dual-use items if the broker has grounds for suspecting that the items are wholly or in part intended for any of the uses listed in Article 4(1) of the Regulation (paragraph 17(2) of Government Decree No 13 of 2011 on the foreign trade authorisation of dual-use items).

2.9. **Netherlands**

An authorisation for brokering of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Article 4a(5) of the Strategic Services Act — Wet strategische diensten).

2.10. **Austria**

If a broker has grounds for suspecting that dual-use items, which are not listed in Annex I of the Regulation (EC) No 428/2009, are or may be intended, wholly or in part, for any of the uses listed in Article 4(1) of the Regulation, the broker shall notify the competent authority, which may decide to impose an authorisation requirement (Article 5 of the 2011 First Foreign Trade Regulation (Erste Außenwirtschaftsverordnung 2011), BGBl. II Nr. 343/2011, published on 28 October 2011).

2.11. **Romania**

An authorisation shall be required for brokering of dual-use items if the broker has grounds for suspecting that the items are wholly or in part intended for any of the uses listed in Article 4(1) of the Regulation (paragraph 3 of Article 14 of the Emergency Order No 119 of 23 December 2010 (GEO No 119/2010) on the control regime for operations concerning dual-use items).

2.12. **Finland**

If a broker has grounds for suspecting that dual-use items are or may be intended, wholly or in part, for any of the uses listed in Article 4(1) of the Regulation, the broker shall notify the Ministry for Foreign Affairs, which may decide to impose an authorisation requirement (paragraphs 3.2 and 4.4 of law 562/1996 (as amended).

3. **INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 6(2) OF THE REGULATION (EXTENSION OF TRANSIT CONTROLS)**

Article 6(2) in conjunction with Article 6(4) of the Regulation requires the Commission to publish measures taken by Member States empowering their competent authorities to impose in individual cases an authorisation requirement for the specific transit of dual-use items listed in Annex I if the items are or maybe intended, in their entirety or in part, for uses referred to in Article 4(1).
The table below provides an overview of the measures taken by Member States, as notified to the Commission. Details on the measures are provided thereafter.

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3.1. Belgium
An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation, in the Flemish and the Walloon Region (Article 6 and 7 of the Flemish Government Decree of 14 March 2014 regulating export, transit and transfer of dual-use and the delivery of technical assistance (Belgian Official Gazette of 2 May 2014), Article 5 and 6 of the Walloon Government Decree of 6 February 2014 regulating export, transit and transfer of dual-use items and technology (Belgian Official Gazette of 19.02.2014)).

3.2. Bulgaria
An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Articles 48-50 of the ‘Defence-Related Products and Dual-Use Items and Technologies Export Control Act’, State Gazette No. 26/29.03.2011).

3.3. Germany
An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Section 44 of the German Foreign Trade and Payments Regulation — Aussenwirtschaftsverordnung — AWV).

3.4. Estonia
An authorisation for transit of listed (and non-listed) dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (paragraphs 3, 6 and 7 of the Strategic Goods Act (SGA)).

3.5. Ireland
An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Section 10 of Statutory Instrument 443 of 2009, Control of Exports (Dual-Use Items) Order 2009, as amended).

3.6. Greece
An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (paragraph 3.3.2 of the Ministerial Decision No 121837/e3/21837/28-9-2009).

3.7. Croatia
An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Act on Control of dual-use items (OG 80/11 i 68/2013). The Ministry of Foreign and European Affairs can prohibit transit according to Article 6(1) of the Regulation, based on proposals by the Commission established in Article 12 of the Act. Prior to the decision to prohibit the transit, in special cases, the Ministry may impose a requirement for a Special Transit Licence.

3.8. Hungary
An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (paragraph 18 of Government Decree No 13 of 2011 on the foreign trade authorisation of dual-use items).

3.9. Austria
An authorisation for transit of listed dual-use items shall be required, by the Federal Minister for Science, Research and Economy, when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Article 15 of the 2011 Foreign Trade Act — Außenwirtschaftsgesetz 2011, BGBl. I Nr. 26/2011).

3.10. Romania
An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (paragraph 1 of Article 15 of the Emergency Order No 119 of 23 December 2010 (GEO No 119/2010) ‘on the control regime for operations concerning dual-use items’).
3.11. **Finland**

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (paragraph 3.3 of law 562/1996).

3.12. **United Kingdom**

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Article 8(1), 17 and 26 of the Export Control Order 2008, as amended by The Export Control (Amendment) (No 3) Order 2009 (S.I. 2009/2151).

4. **INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 6(3) OF THE REGULATION (EXTENSION OF TRANSIT CONTROLS)**

Article 6(3) in conjunction with Article 6(4) of the Regulation requires the Commission to publish measures taken by Member States extending the application of Article 6(1) to non-listed dual-use items for uses referred to in Article 4(1) and to dual-use items for military end use and destinations referred to in Article 4(2).

The table below provides an overview of the measures taken by Member States, as notified to the Commission. Details on the measures are provided thereafter.

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<td>SLOVAKIA</td>
<td>NO</td>
</tr>
<tr>
<td>FINLAND</td>
<td>YES</td>
</tr>
<tr>
<td>SWEDEN</td>
<td>NO</td>
</tr>
<tr>
<td>UNITED KINGDOM</td>
<td>YES</td>
</tr>
</tbody>
</table>

4.1. **Belgium**

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) in the Flemish and the Walloon Region (Article 6 and 7 of the Flemish Government Decree of 14 March 2014 regulating export, transit and transfer of dual-use items and the delivery of technical assistance (Belgian Official Gazette of 2 May 2014), Article 5 and 6 of the Walloon Government Decree of 6 February 2014 regulating export, transit and transfer of dual-use items and technology (Belgian Official Gazette of 19.02.2014).

4.2. **Czech Republic**

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (paragraph 13b of the Act No 594/2004 Coll. ‘Implementing the European Community Regime for the Control of Exports, Transfer, Brokering, and Transit of Dual-Use Items’ (as amended)(1)

4.3. **Estonia**

An authorisation shall be required by the Strategic Goods Commission’s (SGC) for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (paragraphs 3, 6 and 7 of the Strategic Goods Act (SGA).

4.4. **Ireland**

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Section 11 of Statutory Instrument 443 of 2009, Control of Exports (Dual-Use Items) Order 2009, as amended).
4.5. Greece

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (paragraph 3.3.3. of the Ministerial Decision No 121837/e3/21837/28-9-2009).

4.6. Spain

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Article 11 of Act 53/2007).

4.7. Croatia

An authorisation shall be required by the Ministry of Foreign and European Affairs for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Act on Control of dual-use items (OG 80/11 i 68/2013)).

4.8. Cyprus

The Ministry of Energy, Commerce, Industry and Tourism may prohibit the transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Article 5(3) of Ministerial Order 312/2009).

4.9. Hungary

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (paragraph 18 of the Government Decree No 13 of 2011 ‘on foreign trade authorisation of dual-use items’).

4.10. The Netherlands

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Article 4a(1) and (2) of the Decree for Strategic Goods — Besluit strategische goederen).

4.11. Austria

An authorisation shall be required by the Federal Minister for Science, Research and Economy for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Article 15 of the 2011 Foreign Trade Act (Außenwirtschaftsgesetz 2011, BGBl. I Nr. 26/2011)).

4.12. Romania

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Article 15(2) of the Emergency Order No 119 of 23 December 2010 (GEO No 119/2010)).

4.13. Finland

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (paragraphs 3.3 and 4.1 of law 562/1996 (as amended) stipulate as follows:

— Paragraph 3.3

Transit of dual-use items listed in Annex I to the Council Regulation shall be subject to authorisation if the transit operator has been notified by the Ministry for Foreign Affairs that the items in question are or could be wholly or partly intended for a use listed in Article 4(1) or (2) of the Council Regulation.
If the intention is the export, brokering, transit or transfer of products, services or other items that are not on the list in the Annex to the Council Regulation, an authorisation must be presented on export, brokering, transit or transfer if the exporter, broker, transit operator or transfer operator has been notified by the Ministry for Foreign Affairs that the item in question is or could be wholly or partly intended for use in connection with the development, manufacture, handling, operation, maintenance, storage, detection, identification or distribution of chemical and biological weapons or nuclear weapons, or the development, production, maintenance or storage of missiles capable of delivering weapons covered by non-proliferation regimes.)

4.14. United Kingdom
An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Article 8(2), 17(3) and 26 of The Export Control Order 2008, as amended by the Export Control (Amendment) (No 3) Order 2009 (S.I.2009/2151)).

5. INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 8 OF THE REGULATION (EXTENSION OF CONTROLS TO NON-LISTED ITEMS FOR REASONS OF PUBLIC SECURITY OR HUMAN RIGHTS CONSIDERATIONS)

Article 8(4) of the Regulation requires the Commission to publish measures taken by Member States prohibiting or imposing an authorisation requirement on the export of dual-use items not listed in Annex I for reasons of public security or human rights considerations.

The table below provides an overview of the measures taken by Member States, as notified to the Commission. Details on the measures are provided thereafter.

<table>
<thead>
<tr>
<th>Member State</th>
<th>Have additional controls been implemented concerning non-listed goods for reasons of public security or human rights considerations in relation with Article 8(1)?</th>
</tr>
</thead>
<tbody>
<tr>
<td>BELGIUM</td>
<td>NO</td>
</tr>
<tr>
<td>BULGARIA</td>
<td>YES</td>
</tr>
<tr>
<td>CZECH REPUBLIC</td>
<td>YES</td>
</tr>
<tr>
<td>DENMARK</td>
<td>NO</td>
</tr>
<tr>
<td>GERMANY</td>
<td>YES</td>
</tr>
<tr>
<td>ESTONIA</td>
<td>YES</td>
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<tr>
<td>IRELAND</td>
<td>YES</td>
</tr>
<tr>
<td>GREECE</td>
<td>NO</td>
</tr>
<tr>
<td>SPAIN</td>
<td>NO</td>
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<tr>
<td>FRANCE</td>
<td>YES</td>
</tr>
<tr>
<td>CROATIA</td>
<td>NO</td>
</tr>
<tr>
<td>ITALY</td>
<td>NO</td>
</tr>
<tr>
<td>CYPRUS</td>
<td>YES</td>
</tr>
<tr>
<td>Member State</td>
<td>Have additional controls been implemented concerning non-listed goods for reasons of public security or human rights considerations in relation with Article 8(1)?</td>
</tr>
<tr>
<td>---------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>LATVIA</td>
<td>YES</td>
</tr>
<tr>
<td>LITHUANIA</td>
<td>NO</td>
</tr>
<tr>
<td>LUXEMBOURG</td>
<td>NO</td>
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<tr>
<td>HUNGARY</td>
<td>NO</td>
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<tr>
<td>MALTA</td>
<td>NO</td>
</tr>
<tr>
<td>NETHERLANDS</td>
<td>YES</td>
</tr>
<tr>
<td>AUSTRIA</td>
<td>YES</td>
</tr>
<tr>
<td>POLAND</td>
<td>NO</td>
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<tr>
<td>PORTUGAL</td>
<td>NO</td>
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<tr>
<td>ROMANIA</td>
<td>YES</td>
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<td>SLOVENIA</td>
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<td>SWEDEN</td>
<td>NO</td>
</tr>
<tr>
<td>UNITED KINGDOM</td>
<td>YES</td>
</tr>
</tbody>
</table>

5.1. **Bulgaria**

The export of dual-use items not listed in Annex I of the Regulation may be subject to authorisation or prohibited for reasons of public security or human rights consideration, by an act of the Council of Ministers (Article 34 (1), par. 3 The Export Control Act).

5.2. **Czech Republic**

The export of dual-use items not listed in Annex I of the Regulation may be subject to authorisation or prohibited, by Government Order, for reasons of public security or human rights consideration (paragraph 3(1)(d) of the Act No 594/2004 Coll).

5.3. **Germany**

(1) The export of dual-use items not listed in Annex I of the Regulation may be subject to authorisation or prohibited for reasons of public security or human rights consideration (Section 8 (1) Nr. 2 of the Foreign Trade and Payments Regulation (Aussenwirtschaftsverordnung — AWV)). The measure applies to the following National Numbers in the Export Control List (in 2013):

— 2B909 Flow forming machines and machines with combined flow forming and spin-forming functions, other than those controlled by 2B009, 2B109 or 2B209 in the framework of Council Regulation (EC) No 428/2009 as amended, having all of the following characteristics, and specially designed components therefor:

(a) which, according to the manufacturer's technic specification, can be equipped with numerical control units, computer control or play-back control; and

(b) a roller force of more than 60 kN, if the purchasing country or country of destination is Syria.
— 2B952 Equipment capable of use in handling biological substances, other than that controlled by 2B352 in the framework of Council Regulation (EC) No 428/2009 as amended, if the purchasing country or country of destination is Iran, North Korea or Syria:

(a) fermenters, capable of cultivation of pathogenic ‘micro-organisms’ or viruses, or capable of toxin production, without the propagation of aerosols and having a total capacity of 10 l or more;

(b) agitators for fermenters controlled by 2B352(a) in the framework of Council Regulation (EC) No 428/2009 as amended.

Technical note:
Fermenters include bioreactors, chemostats and continuous-flow systems.

— 2B993 Equipment for the deposition of metallic overlays for non-electronic substrates as follows, and specially designed components and accessories therefor, if the purchasing country or country of destination is Iran:

(a) chemical vapour deposition (CVD) production equipment;

(b) electron beam physical vapour deposition (EB-PVD) production equipment;

(c) production equipment for deposition by means of inductive or resistance heating.

— 5A902 Surveillance systems, equipment and components for ICT (Information and Communication Technology) for public networks, where the destination lies outside the customs territory of the European Union and outside the areas listed in Annex IIa Part 2 of Regulation (EC) No 428/2009, as follows:

(a) Monitoring centres (Law Enforcement Monitoring Facilities) for Lawful Interception Systems (LI, for example according to ETSI ES 201 158, ETSI ES 201 671 or equivalent standards, specifications or standards) and specially designed components therefor,

(b) Retention systems or devices for call data (Intercept Related Information IRI, for example, according to ETSI TS 102 656 or equivalent standards, specifications or standards) and specially designed components therefor.

Technical note:
Call data includes signalling information, origin and destination (e.g. phone numbers, IP or MAC addresses, etc.), date and time and geographical origin of communication.

Note:
5A902 does not control systems, or devices that are specially designed for any of the following purposes:

(a) billing

(b) data collection functions within network elements (e.g., Exchange or HLR)

(c) quality of service of the network (Quality of Service — QoS) or

(d) user satisfaction (Quality of Experience — QoE)

(e) operation at telecommunications companies (service providers)
— 5A911  Base stations for digital ‘trunked radio’ if the purchasing country or country of destination is Sudan or South Sudan.

Technical note:

‘Trunked radio’ is a cellular radio communications procedure with mobile subscribers who are assigned frequency trunks for communication. Digital ‘trunked radio’ (e.g. TETRA, terrestrial trunked radio) uses digital modulation.

— 5D902  ‘Software’, where the destination lies outside the customs territory of the European Union and outside the areas listed in Annex IIa Part 2 of Regulation (EC) No 428/2009, as follows:

(a) ‘software’ specifically designed or modified for the ‘development’, ‘production’ or ‘use’ of installations, functions or performance parameters controlled by entry 5A902;

(b) ‘software’ specifically designed or modified for the achievement of characteristics, functions or performance parameters controlled by entry 5A902.

— 5D911  ‘Software’ specially designed or modified for the ‘use’ of equipment, which is controlled by item 5A911, if the purchasing country or country of destination is Sudan or South Sudan.

— 5E902  ‘Technology’ according to the General Technology Note for the ‘development’, ‘production’ and ‘use’ of installations, functions or performance characteristics controlled by entry 5A902, or ‘software’ controlled by entry 5D902, where the destination lies outside the customs territory of the European Union and outside the areas listed in Annex IIa Part 2 of Regulation (EC) No 428/2009.

— 6A908  Radar-based navigation or surveillance systems for vessel or airborne traffic control, not controlled by items 6A008 or 6A108 in the framework of Council Regulation (EC) No 428/2009 as amended, and specially designed components therefor, if the purchasing country or country of destination is Iran.

— 6D908  ‘Software’, specially developed or modified for the ‘development’, ‘production’ or ‘use’ of the equipment controlled by 6A908, if the purchasing country or country of destination is Iran.

— 9A991  Ground vehicles not covered by Part I A of the Export Control List, as follows:

(a) flatbed trailers and semitrailers with a payload exceeding 25 000 kg and less than 70 000 kg, or having one or more military features and being capable of transporting vehicles controlled by 0006 in Part I A as well as traction vehicles capable of their transportation and having one or more military features if the purchasing country or country of destination is Iran, Libya, Myanmar, North Korea, Pakistan, Somalia or Syria;

Note: Traction vehicles within the meaning of 9A991a comprise all vehicles with primary traction function;

(b) other trucks and off-road vehicles having one or more military features, if the purchasing country or country of destination is Iran, Libya, Myanmar, North Korea, Somalia or Syria.

Note 1: Military features as defined by 9A991 include:

(a) fording capability of 1.2 m or more;

(b) mountings for guns and weapons;

(c) mountings for camouflage netting;

(d) roof lights, round with sliding or swinging cover;
(e) military enamelling;

(f) hook coupling for trailers in conjunction with a so-called NATO-socket.

Note 2: 9A991 does not control ground vehicles when accompanying their users for their own personal use. 9A992 Trucks, as follows:

(a) all-wheel-drive trucks with a payload exceeding 1 000 kg, if the purchasing country or country of destination is North Korea;

(b) trucks with three or more axles and a maximum permissible gross laden weight of more than 20 000 kg, if the purchasing country or country of destination is Iran or Syria.

— 9A993 Helicopters, helicopter power transfer systems, gas turbine engines and auxiliary power units (APUs) for use in helicopters, and specially designed components therefor, if the purchasing country or country of destination is Cuba, Iran, Libya, Myanmar, North Korea, Somalia or Syria.

— 9A994 Air-cooled power units (aero-engines) with a cubic capacity of 100 cm$^3$ or more and 600 cm$^3$ or less, capable of use in unmanned ‘air vehicles’, and specially designed components therefor, if the purchasing country or country of destination is Iran.

— 9E991 ‘Technology’ according to the General Technology Note for the ‘development’ or ‘production’ of equipment controlled by 9A993, if the purchasing country or country of destination is Cuba, Iran, Libya, Myanmar, North Korea, or Syria.

(2) The export authorisation requirement under Section 5(d) AWV for non-listed goods continues to apply in section 9 AWV.

(3) Under Section 6 of the Foreign Trade and Payments Act (Aussenwirtschaftsgesetz — AWG), transactions, legal transactions and actions can be restricted or obligations to act can be imposed by administrative act in order to avert a danger pertaining in an individual case to the interests e.g. the essential security interests of the Federal Republic of Germany, the peaceful coexistence of nations, the foreign relations of the Federal Republic of Germany, the public order or security of the Federal Republic of Germany.

5.4. Estonia

The export of dual-use items not listed in Annex I of the Regulation may be subject to authorisation or prohibited, by decision of the Strategic Goods Commission, for reasons of public security or human rights consideration (Para 2 (11) and 6 (2) of the Strategic Goods Act).

5.5. Ireland

The export of dual-use items not listed in Annex I of the Regulation may be subject to authorisation or prohibited for reasons of public security or human rights consideration (Section 12(2) of Statutory Instrument 443 of 2009, Control of Exports (Dual-Use Items) Order 2009, as amended)).

5.6. France

The export of dual-use items not listed in Annex I of the Regulation may be subject to authorisation or prohibited for reasons of public security or human rights consideration (Decree No 2010-292). National controls on exports of dual-use items have been adopted, as set out in following orders:


5.7. **Cyprus**

The export of dual-use items not listed in Annex I of the Regulation may be subject to authorisation or prohibited by the Ministry of Energy, Commerce, Industry and Tourism for reasons of public security or human rights consideration (Articles 5(3) and 10(c) of Ministerial Order 312/2009).

5.8. **Latvia**

The export of dual-use items not listed in Annex I of the Regulation may be subject to authorisation or prohibited by the Control Committee for Strategic Goods for reasons of public security or human rights consideration (Regulation of the Cabinet of Ministers No 645 of 25 September 2007 — ‘Regulation on the National List of Strategic Goods and Services’ (issued in accordance with the ‘Law on the Handling of Strategic Goods’, Article 3, Part One). National controls on exports of dual-use items apply to the National List of Strategic Goods and Services (Annex to Regulation No 645) as follows:

### NATIONAL LIST OF STRATEGIC GOODS AND SERVICES

<table>
<thead>
<tr>
<th>Part No</th>
<th>Name of goods</th>
</tr>
</thead>
<tbody>
<tr>
<td>10A901</td>
<td>Rimfire weapons, their parts, accessories and ammunition</td>
</tr>
<tr>
<td>10A902</td>
<td>Aircraft components, equipment and spare parts</td>
</tr>
</tbody>
</table>

*Note: Licence is necessary for the import, export, transit and transfer from/to EU countries of those aircraft components, equipment and spare parts, which can be used in both military and civil aircrafts.*

**Exceptions:**

10A902 does not control aircraft components, equipment and spare parts, which are designed for the repair and maintenance purposes of International Civil Aircraft companies for the use on civil aircraft.

10A902 does not control import, export and transfer from/to EU countries of such aircraft components, equipment and spare parts, which are designed for the repair and maintenance purposes of civil aircraft, if the mentioned civil aircraft is situated within the territory of the Republic of Latvia.

10A902 does not control import, export, transit and transfer from/to EU countries of such aircraft components, equipment and spare parts, which are designed for the repair and maintenance purposes of civil aircraft, if the mentioned civil aircraft is being used in EU, UN and NATO missions.

10A902 does not control passenger salon and serving facilities.

| 10A903  | Air guns with energy greater than 12 joules |
| 10A904  | Pyrotechnical devices of classes 2, 3 and 4 |

*Technical note: The class of the pyrotechnical device shall be determined by the Department of Criminology of the State Police.*

| 10A905  | Tools, equipment, components and software designed or modified for special clandestine operations: |

*NB: See also Category 5, Part Two ‘Information Security’*

a. devices and equipment for clandestine obtaining of audio information:

(1) special microphones;

(2) special transmitters;
<table>
<thead>
<tr>
<th>Part No</th>
<th>Name of goods</th>
</tr>
</thead>
<tbody>
<tr>
<td>10A905</td>
<td>b. devices and equipment for clandestine monitoring or video recording:</td>
</tr>
<tr>
<td></td>
<td>(1) video cameras;</td>
</tr>
<tr>
<td></td>
<td>(2) special video transmitters;</td>
</tr>
<tr>
<td></td>
<td>(3) special video receivers; and</td>
</tr>
<tr>
<td></td>
<td>(4) mini video recorders;</td>
</tr>
<tr>
<td></td>
<td>Technical note: 10A905b.1. includes wired and wireless video cameras and TV cameras.</td>
</tr>
<tr>
<td></td>
<td>c. devices and equipment for clandestine retrieving of digital or mobile voice telecommunications or other information from technical means or channels of communication;</td>
</tr>
<tr>
<td></td>
<td>d. devices and equipment for 'clandestine entering' into premises, means of transport or other objects:</td>
</tr>
<tr>
<td></td>
<td>Technical note: For the purposes of 10A905 'clandestine entering' means clandestine opening of mechanical, electronic or other locks or cracking of codes.</td>
</tr>
<tr>
<td></td>
<td>(1) special x-ray equipment for looking into locks;</td>
</tr>
<tr>
<td></td>
<td>(2) master keys;</td>
</tr>
<tr>
<td></td>
<td>(3) tools for opening locks; and</td>
</tr>
<tr>
<td></td>
<td>(4) electronic devices for cracking the lock codes;</td>
</tr>
<tr>
<td></td>
<td>e. countermeasure equipment and devices against special operations:</td>
</tr>
<tr>
<td></td>
<td>NB: See also 'EU Common Military List'</td>
</tr>
<tr>
<td></td>
<td>(1) special indicators;</td>
</tr>
<tr>
<td></td>
<td>(2) special locators;</td>
</tr>
<tr>
<td></td>
<td>(3) scanners;</td>
</tr>
<tr>
<td></td>
<td>(4) scramblers;</td>
</tr>
<tr>
<td></td>
<td>(5) special frequency meters;</td>
</tr>
<tr>
<td></td>
<td>(6) wide frequency range noise generators.</td>
</tr>
</tbody>
</table>

10A906  Night vision scopes and components.

10A907  Antipersonnel mines

Note: The export of antipersonnel mines is forbidden.

10D  Software

10D901  'Software' specially designed for special operational activities and for acquiring the information from computers and computer networks or other information systems or for clandestine change or destruction of such information.

Note: 10D901 controls the export, import, 'production', 'use', 'development' and storage of the above-mentioned 'software'.

<table>
<thead>
<tr>
<th>Part No</th>
<th>Name of goods</th>
</tr>
</thead>
<tbody>
<tr>
<td>10E</td>
<td>Technology</td>
</tr>
<tr>
<td>10E901</td>
<td>Technology for development, production and use of equipment mentioned in 10A905</td>
</tr>
<tr>
<td>10E902</td>
<td>Military assistance</td>
</tr>
</tbody>
</table>

Note: Military assistance includes any technical support related to the production, development, maintenance, testing and construction of military items, as well as any kind of technical services, such as instructions, training, transfer of practical skills, consultations, including in oral form.

Exceptions:

1. Military assistance to EU Member States, NATO Member States, Australia, Canada, New Zealand, Japan and Switzerland.
2. Military assistance is in the public domain or in the form of fundamental scientific research information.
3. Military assistance is oral and not related to items controlled by one or more international export control regimes, conventions or agreements.

5.9. The Netherlands

The export of dual-use items not listed in Annex I of the Regulation may be subject to authorisation or prohibited by the Minister of Foreign Affairs for reasons of public security or human rights consideration. (Article 4 of the Decree for Strategic Goods — Besluit strategische goederen)

National controls have been adopted for the export of items for internal repression and brokering services to Syria and national controls have been adopted for the export of items for internal repression to Egypt and Ukraine. (Decree Goods for Dual-Use — Regeling goederen voor tweeërlei gebruik).

Authority requirements have been imposed for the export of 37 chemical substances to Iraq, regardless of the specific consignee or end-user. (Decree Goods for Dual-Use Iraq — Regeling goederen voor tweeërlei gebruik Irak)

5.10. Austria

The export or transit of dual-use items not listed in Annex I of the Regulation may be subject to authorisation or prohibited by the Federal Minister for Science, Research and Economy for reasons of public security or human rights consideration (Article 20 of the 2011 Foreign Trade Act (Außenwirtschaftsgesetz 2011, BGBl. I Nr. 26/2011)).

5.11. Romania

The export of dual-use items not listed in Annex I of the Regulation may be subject to authorisation or prohibited for reasons of public security or human rights consideration (Article 7 of the Emergency Order No 119 of 23 December 2010 (GEO No 119/2010) on the control regime for operations concerning dual-use items).

5.12. United Kingdom

The export of dual-use items not listed in Annex I of the Regulation may be subject to authorisation or prohibited for reasons of public security or human rights consideration (Export Control Order 2008). The list of UK controlled dual-use items is set out in Schedule 3 of the Export Control Order 2008 as amended by the Export Control (Amendment) (No 2) Order 2010 (S.I. 2010/2007).
SCHEDULE 3

Schedule referred to in Articles 2 and 4 of the Export Control Order 2008

UK CONTROLLED DUAL-USE GOODS, SOFTWARE AND TECHNOLOGY

Note: In this Schedule, defined terms are printed in quotation marks.

Definitions

In this Schedule:

'development' means all stages prior to 'production' (e.g. design, design research, design analyses, design concepts, assembly and testing of prototypes, pilot production schemes, design data, process of transforming design data into goods, configuration design, integration design, layouts);

'energetic materials' means substances or mixtures that react chemically to release energy required for their intended application; ‘explosives’, ‘pyrotechnics’ and ‘propellants’ are subclasses of energetic materials;

'explosive signatures' are features which are characteristic of explosives in any form prior to their initiation, as detected using technology including, but not limited to, ion mobility spectrometry, chemiluminescence, fluorescence, nuclear, acoustic or electromagnetic techniques;

'explosives' means solid, liquid or gaseous substances or mixtures of substances which, in their application as primary, booster, or main charges in warheads, demolition and other applications, are required to detonate;

'improvised explosive devices' means devices fabricated or intended to be placed in an improvised manner incorporating destructive, lethal, noxious, ‘pyrotechnic’ or incendiary chemicals designed to destroy, disfigure or harass; they may incorporate military stores, but are normally devised from non-military components;

'lighter-than-air vehicles' means balloons and airships that rely on hot air or on lighter-than-air gases such as helium or hydrogen for their lift;

'previously separated' means the application of any process intended to increase the concentration of the controlled isotope;

'production' means all production stages (e.g. product engineering, manufacture, integration, assembly (mounting), inspection, testing, quality assurance);

'propellants' means substances or mixtures that react chemically to produce large volumes of hot gases at controlled rates to perform mechanical work;

'pyrotechnic(s)' means mixtures of solid or liquid fuels and oxidisers which, when ignited, undergo an energetic chemical reaction at a controlled rate intended to produce specific time delays, or quantities of heat, noise, smoke, visible light or infrared radiation; pyrophorics are a subclass of pyrotechnics, which contain no oxidisers but ignite spontaneously on contact with air;

'required' as applied to 'technology', refers to only that portion of 'technology' which is peculiarly responsible for achieving or exceeding the controlled performance levels, characteristics or functions. Such ‘required’ ‘technology’ may be shared by different goods and the intended use of ‘technology’ is irrelevant to whether it is ‘required’;

'technology' means specific 'information' necessary for the 'development', 'production' or 'use' of goods or 'software';

Technical Note:

'Information' may take forms including, but not limited to: blueprints, plans, diagrams, models, formulae, tables, 'source code', engineering designs and specifications, manuals and instructions written or recorded on other media or devices (e.g. disk, tape, read-only memories);

'source code' (or source language) is a convenient expression of one or more processes which may be turned by a programming system into equipment executable form.

'use' means operation, installation (e.g. on-site installation), maintenance, checking, repair, overhaul and refurbishing;
'vaccines' are medicinal products in a pharmaceutical formulation licensed by, or having marketing or clinical trial authorisation from, the regulatory authorities of either the country of manufacture or of use, which is intended to stimulate a protective immunological response in humans or animals in order to prevent disease in those to whom or to which it is administered.

**Explosive-related goods and technology**

**PL8001** The export or 'transfer by electronic means' of the following goods or 'technology' is prohibited to any destination outside all of the following: the customs territory, Australia, New Zealand, Canada, Norway, Switzerland, United States of America and Japan:

a. Equipment and devices, other than those in Schedule 2 or in 1A004.d, 1A005, 1A006, 1A007, 1A008, 3A229, 3A232 or 5A001.h in Annex I to 'the dual-use Regulation', for detection of or use with 'explosives' or for dealing with or protecting against 'improvised explosive devices', as follows, and specially designed components therefor:

1. Electronic equipment designed to detect 'explosives' or 'explosive signatures';

   **NB:** See also 1A004.d in Annex I to 'the dual-use Regulation'.

   **Note:** PL8001.a.1 does not control equipment requiring operator judgement to establish the presence of 'explosives' or 'explosive signatures'.

2. Electronic jamming equipment specially designed to prevent the detonation by radio remote control of 'improvised explosive devices';

   **NB:** See also 5A001.h. in Annex I to 'the dual-use Regulation'.

3. Equipment and devices specially designed to initiate explosions by electrical or nonelectrical means, (e.g., firing sets, detonators and igniters);

   **NB:** See also 1A007, 1A008, 3A229 and 3A232 in Annex I to 'the dual-use Regulation'.

   **Note:** PL8001.a.3 does not control:

   a. Equipment and devices specially designed for a specific commercial use consisting of the actuation or operation by explosive means of other equipment or devices the function of which is not the initiation or creation of explosions;

   b. Pressure controlled equipment specially designed for down-hole oilfield equipment applications and which are incapable of use at atmospheric pressure; and

   c. Detonating cord.

4. Equipment and devices, including, but not limited to: shields and helmets, specially designed for the disposal of 'improvised explosive devices';

   **NB:** See also 1A005, 1A006 and 5A001.h. in Annex I to 'the dual-use Regulation'. **Note:** PL8001.a.4 does not control bomb blankets, mechanical handling equipment for manoeuvring or exposing 'improvised explosive devices', containers designed for holding 'improvised explosive devices' or objects suspected of being such devices or other equipment specially designed to temporarily protect against 'improvised explosive devices' or objects suspected of being such devices.

   a. Linear cutting explosive charges other than those listed at entry 1A008 of Annex I to 'the dual-use Regulation';

NB: See Article 18 of this Order for exceptions from the controls on ‘technology’.

Materials, chemicals, micro-organisms and toxins
PL9002 The export of the following goods is prohibited to any destination:

‘Energetic materials’, as follows, and mixtures containing one or more thereof:

a. Nitrocellulose (containing more than 12,5 % nitrogen);

b. Nitroglycol;

c. Pentaerythritol tetranitrate (PETN);

d. Picryl chloride;

e. Trinitrophenylmethylnitramine (tetryl);

f. 2,4,6-Trinitrotoluene (TNT).

Note: PL9002 does not control single, double and triple base ‘propellants’.

PL9003 The export of the following goods is prohibited to any destination:

‘Vaccines’ for protection against:

a. bacillus anthracis;

b. botulinum toxin.

PL9004 The export of the following goods is prohibited to any destination:

‘Previously separated’ Americium-241, -242 m or -243 in any form.

Note: PL9004 does not control goods with an Americium content of 10 grams or less.

Telecommunications and related technology
PL9005 The export or ‘transfer by electronic means’ of the following goods or ‘technology’ is prohibited to any destinations in Iran:

a. Tropospheric scatter communication equipment using analogue or digital modulation techniques and specially designed components therefor;


NB: See Article 18 of this Order for exceptions from the controls on ‘technology’.

Detection equipment
PL9006 The export of ‘electro-statically powered’ equipment for detecting ‘explosives’, other than detection equipment specified in Schedule 2, PL8001.a.1 or in 1A004.d. in Annex I to ‘the dual-use Regulation’, is prohibited to any destination in Afghanistan or Iraq.

Technical Note:

‘Electro-statically powered’ means using electro-statically generated charge.
**Vessels and related software and technology**

**PL9008** The export or 'transfer by electronic means' of the following goods, 'software' or 'technology', is prohibited to any destination in Iran:

a. Vessels', inflatable craft and 'submersible vehicles', and related equipment and components, as follows, other than those specified in Schedule 2 to this Order or Annex I to 'the dual-use Regulation':

1. Marine 'vessels' (surface or underwater), inflatable craft and 'submersible vehicles';

2. Equipment and components designed for 'vessels', inflatable craft and 'submersible vehicles' as follows:
   a. Hull and keel structures and components;
   b. Propulsive engines designed or modified for marine use and specially designed components therefor;
   c. Marine radar, sonar and speed log equipment, and specially designed components therefor;

3. 'Software' designed for the 'development', 'production' or 'use' of goods specified in PL9008.a;

4. 'Technology' for the 'development', 'production' or 'use' of goods or 'software' specified in PL9008.a or PL9008.b.

**NB:** See Article 18 of this Order for exceptions from the controls on 'technology'.

**Technical Note:**
'Submersible vehicles' include manned, unmanned, tethered or untethered vehicles.

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**Aircraft and related technology**

**PL9009** The export or 'transfer by electronic means' of the following goods or 'technology' is prohibited to any destination in Iran:

a. 'Aircraft', 'lighter-than-air vehicles' and steerable parachutes, and related equipment and components, as follows, other than those specified in Schedule 2 to this Order or Annex I to 'the dual-use Regulation':

1. 'Aircraft', 'lighter-than-air vehicles' and steerable parachutes;

2. Equipment and components designed for 'aircraft' and 'lighter-than-air vehicles', as follows:
   a. Airframe structures and components;
   b. Aero-engines and auxiliary power units (APU)s and specially designed components therefor;
   c. Avionics and navigation equipment and specially designed components therefor;
   d. Landing gear and specially designed components therefor, and aircraft tyres;
   e. Propellers and rotors;
   f. Transmissions and gearboxes, and specially designed components therefor;
g. Unmanned aerial vehicle (UAV) recovery systems;

h. Not used;

i. Technology for the ‘development’, ‘production’ or ‘use’ of goods specified in PL9009.a.

NB: See Article 18 of this Order for exceptions from the controls on ‘technology’.

Note: PL9009.e. does not control technical data, drawings or documentation for maintenance activities directly associated with calibration, removal or replacement of damaged or unserviceable goods that are necessary for the continuing airworthiness and safe operation of civil ‘aircraft’.

6. INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 9(4)(B) OF THE REGULATION (NATIONAL GENERAL EXPORT AUTHORISATIONS)

Article 9(4)(b) of the Regulation requires the Commission to publish measures taken by Member States with regard to any national general export authorisations issued or modified.

The table below provides an overview of the measures taken by Member States, as notified to the Commission. Details on the measures are provided thereafter.

<table>
<thead>
<tr>
<th>Member State</th>
<th>Has your Member State issued or modified any national general export authorisation in relation with Article 9?</th>
</tr>
</thead>
<tbody>
<tr>
<td>BELGIUM</td>
<td>NO</td>
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<tr>
<td>BULGARIA</td>
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<tr>
<td>CZECH REPUBLIC</td>
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<td>DENMARK</td>
<td>NO</td>
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<td>GERMANY</td>
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<td>ESTONIA</td>
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<td>IRELAND</td>
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<td>GREECE</td>
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<td>SPAIN</td>
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<tr>
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<td>Member State</td>
<td>Has your Member State issued or modified any national general export authorisation in relation with Article 9?</td>
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</tr>
<tr>
<td>MALTA</td>
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<td>NETHERLANDS</td>
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<td>SWEDEN</td>
<td>NO</td>
</tr>
<tr>
<td>UNITED KINGDOM</td>
<td>YES</td>
</tr>
</tbody>
</table>

6.1. **Germany**

There are five National General Export Authorisations in force in Germany:

1. General Authorisation No 12 for the export of certain dual-use goods below a certain value threshold;
2. General Authorisation No 13 for the export of certain dual-use goods in certain circumstances;
3. General Authorisation No 14 for valves and pumps
4. General Authorisation No 16 for telecommunications and data security.
5. General Authorisation No 17 for frequency changers

6.2. **Greece**

A National General Export Authorisation applies for export of certain dual-use items to the following destinations: Argentina, Croatia, Republic of Korea, Russian Federation, Ukraine, Turkey and South Africa (Ministerial Decision No 125263/6-2-2007).

6.3. **France**

There are six National General Export Authorisations in force in France:

1. National General Export Authorisation for industrial goods, as defined in the decree of 18 July 2002 concerning the export of industrial goods subject to strategic control in the European Community [as published in the *Official Journal of the French Republic* No 176 of 30 July 2002 (text 11) and as amended by the decree of 21 June 2004 concerning the enlargement of the European Union as published in the *Official Journal of the French Republic* of 31 July 2004 (text 5)].
2. National General Export Authorisation for chemical products, as defined in the decree of 18 July 2002 concerning the export of dual-use chemical products [as published in the *Official Journal of the French Republic* No 176 of 30 July 2002 (text 12) and as amended by the decree of 21 June 2004 concerning the enlargement of the European Union as published in the *Official Journal of the French Republic* of 31 July 2004 (text 6)].


(6) National General Authorisation for the export or transfer within the EU of certain dual-use items for exhibition or fair (Ministerial Order of 31 July 2014 published in the French Official Gazette of 8 August 2014).

The specific items covered by the authorisations are set out in the relevant decrees.

6.4. Croatia
A National General Export Authorisation for the export of dual-use items in accordance with Article 9(4) of the Regulation may be issued by the Ministry of Foreign and European Affairs (Act on Control of Dual-Use Items (OG 80/11 i 68/2013)).

6.5. Italy
A National General Export Authorisation applies for export of certain dual-use items to the following destinations: Antarctica (Italian bases), Argentina, Republic of Korea, Turkey (decree of 4 August 2003 published in the Official Journal No 202 of 1 September 2003).

6.6. The Netherlands
A National General Export Authorisation applies for export of certain dual-use items to all destinations, with the exception of:
— Australia, Canada, Japan, New Zealand, Norway, USA, Switzerland (which are covered already by Annex II Part 3 to Regulation (EC) No 428/2009);
— Afghanistan, Burma/Myanmar, Iraq, Iran, Libya, Lebanon, North Korea, Pakistan, Sudan, Somalia and Syria.
(National General Authorisation NL002 — Nationale Algemene Uitvoervergunning NL002)

6.7. Austria
There are four National General Export Authorisations in force for Austria:
— AT001 for certain dual-use items where they are re-exported to the originating country without modification, or where items of the same quantity and quality are exported to the originating country, or where technology is re-exported with minor additions, all within three months after their import into the European Union
— AT002 for the export of certain dual-use goods below a certain value threshold
— AT003 for valves and pumps specified in entries 2B350g and 2B350i to certain destinations
— AT004 for frequency changers specified in entry 3A225 and related software and technology
The details of these authorisations are set out in Articles 3 through 3c of the First Foreign Trade Ordinance, BGBl. II No 343/2011 of 28 October 2011 as amended by Ordinance BGBl. II No 430/2015 of 17 December 2015. The conditions for their use (registration and notification requirements) can be found in Article 16 of the same Ordinance.

6.8. Finland
A National General Export Authorisation for the export of dual-use items in accordance with Article 9(4) of the Regulation may be issued by the Ministry of Foreign Affairs pursuant to Section 3, Paragraph 1 of Dual Use Act No. 562/1996 (as amended).

6.9. United Kingdom
There are 15 National General Authorisations (OGELs) in force in the United Kingdom:

1 OGEL (Chemicals)
2 OGEL (Cryptographic Development)
3. OGLE (Export After Exhibition Dual-Use Items)

4. OGLE (Export After Repair/Replacement Under Warranty: Dual-Use Items)

5. OGLE (Export For Repair/Replacement Under Warranty: Dual-Use Items)

6. OGLE (Dual-Use Items: Hong Kong Special Administrative Region)

7. OGLE (International Non-Proliferation Regime De-controls: Dual-Use Items)

8. OGLE (Low Value Shipments)

9. OGLE (OIL and GAS Exploration Dual-Items)

10. OGLE (Technology for Dual-Use Items)

11. OGLE (Turkey)

12. OGLE (X)

13. OGLE (Military and Dual-Use Goods: UK forces deployed in embargoed destinations)

14. OGLE (Military and Dual-Use Goods: UK forces deployed in non-embargoed destinations)

15. OGLE (Exports of Non-Lethal Military and Dual-Use goods: To Diplomatic Missions or Consular Posts)

All UK National General Authorisations for dual-use items, including the lists of permitted items and destinations and the terms and conditions attached to each, are available to view and download from https://www.gov.uk/dual-use-open-general-export-licences-explained.

7. INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLES 9(6)(A), 9(6)(B) AND 10(4) OF THE REGULATION (NATIONAL AUTHORITIES EMPowered TO GRANT EXPORT LICENCES IN THE MEMBER STATES, NATIONAL AUTHORITIES EMPowered TO PROHIBIT THE TRANSIT OF NON-COMMUNITY DUAL-USE ITEMS AND NATIONAL AUTHORITIES EMPowered TO GRANT AUTHORISATIONS FOR THE PROVISION OF BROKERING SERVICES — RESPECTIVELY)

Article 9(6)(a) of the Regulation requires the Commission to publish the list of authorities empowered to grant export authorisations for dual-use items.

Article 9(6)(b) of the Regulation requires the Commission to publish the list of authorities empowered to prohibit the transit of non-Community dual-use items.

Article 10(4) of the Regulation requires the Commission to publish the list of authorities empowered to grant authorisation for the provision of brokering services.

7.1. Belgium

For the Brussels Capital Region (localities with postal codes 1000 to 1299)

Service Public Régional de Bruxelles Brussels International
Cellule licences — Cel vergunningen
Mr Cataldo ALU
City-Center
Boulevard du Jardin Botanique 20
1035 Bruxelles/Brussel
BELGIQUE/BELGIË

Tel. +32 28003727
Fax +32 28003824
E-mail: calu@sprb.brussels
Website: http://bc.brussels/travailler-et-entreprendre/entreprendre-a-bruxelles/permis-licences-autorisations/armes-et-technologies-a-double-usage
For the Walloon Region (localities with postal codes 1300 to 1499 and 4000 to 7999)

Service public de Wallonie
Direction Générale de l’Économie, de l’Emploi et de la Recherche
Direction des Licences d’Armes
Mr Michel Moreels
Chaussée de Louvain 14
5000 Namur
BELGIQUE

Tel. +32 81649751
Fax +32 81649759/60
E-mail: licences.dgo6@spw.wallonie.be
Website: http://economie.wallonie.be/Licences_armes/Accueil.html

For the Flanders Region (localities with postal codes 1500 to 3999 and 8000 to 9999)

Flemish Department of Foreign Affairs
Strategic Goods Control Unit
Mr Michael Peeters
Boudewijnlaan 30, bus 80
1000 Brussel
BELGIË

Tel. +32 25534880
Fax +32 25536037
E-mail: csg@iv.vlaanderen.be
Website: www.vlaanderen.be/csg

7.2. Bulgaria

Interministerial Commission for Export Control and Non-Proliferation of Weapons of Mass Destruction with the Minister for Economy
1000 Sofia
12 Knyaz Alexander I Str.
BULGARIA

Tel. +359 29407771, +359 29407681
Fax +359 29880727
E-mail: h.atanassov@mi.government.bg and i.bahchevanova@mi.government.bg
Website: www.exportcontrol.bg, http://www.mi.government.bg

7.3. Czech Republic

Ministry of Industry and Trade Licensing Office
Na Františku 32
110 15 Prague 1
CZECH REPUBLIC

Tel. +420 224907638
Fax +420 224214558 or +420 224221811
E-mail: leitgeb@mpo.cz or dual@mpo.cz
Website: www.mpo.cz

7.4. Denmark

Exportcontrols
Danish Business Authority
Langelinie Allé 17
2100 Copenhagen
DENMARK

Tel. +45 35291000
Fax +45 35466632
E-mail: eksportkontrol@erst.dk
Website: in English: www.exportcontrols.dk; in Danish: www.eksportkontrol.dk
7.5. Germany
Federal Office for Economic Affairs and Export Control (Bundesamt für Wirtschaft und Ausfuhrkontrolle)
Frankfurter Strasse 29-35
65760 Eschborn
GERMANY
Tel. +49 6196908-0
Fax +49 6196908-1800
E-mail: ausfuhrkontrolle@bafa.bund.de
Website: http://www.ausfuhrkontrolle.info

7.6. Estonia
Strategic Goods Commission, Ministry of Foreign Affairs
Islandi väljak 1
15049 Tallinn
ESTONIA
Tel. +372 6377192
Fax +372 6377199
E-mail: stratkom@vm.ee
Website: in English: http://www.vm.ee/?q=en/taxonomy/term/58; in Estonian: http://www.vm.ee/?q=taxonomy/term/50

7.7. Ireland
Licensing Unit
Department of Jobs, Enterprise and Innovation
23, Kildare Street
Dublin 2
IRELAND
Contact: Claire Pyke, David Martin
Tel. +353 16312530, +353 16312328
E-mail: claire.pyke@djei.ie, david.martin@djei.ie, exportcontrol@djei.ie
Website: https://www.djei.ie/en/What-We-Do/Trade-Investment/Export-Licences/

7.8. Greece
Ministry of Development, Competitiveness
General Directorate for International Economic Policy
Directorate of Import-Export Regimes and Trade Defence Instruments
Export Regimes and Procedures Unit
Kornarou 1 str
105 63 Athens
GREECE
Contact point: O. Papageorgiou
Tel. +30 2103286047/56/22/21
Fax +30 2103286094
E-mail: opapageorgiou@mnec.gr

7.9. Spain
The General Secretariat for Foreign Trade (Secretaría General de Comercio Exterior), the Customs Department and the Foreign Office Ministry are the authorities empowered to grant licences and to decide to prohibit the transit of non-Community dual-use items.

Contact point in the Licensing Office: Mr. Ramón Muro Martínez. Subdirector General.

Ministerio de Economía y Competitividad
Paseo de la Castellana, 162, 7a
28046 Madrid
SPAIN
Tel. +34 913492587
Fax +34 913492470
E-mail: RMuro@comercio.mineco.es; sgdefensa.ssc@comercio.mineco.es
Website: http://www.comercio.gob.es/es-ES/comercio-exterior/informacion-sectorial/material-de-defensa-y-de-doble-uso/Paginas/conceptos.aspx
7.10. France
Ministère de l’Économie, des Finances et de l’Industrie
Direction Générale de la Compétitivité, de l’Industrie et des Services
Service des biens à double usage
DG CIS 1/SI/SBDU
61, Boulevard Vincent-Auriol
Télédoc 151 Bâtiment 4 Sieyès
75703 Paris Cedex 13
FRANCE
Tel. +33 144970937
Fax +33 144970990
E-mail: Doublusage@finances.gouv.fr
Website: http://www.industrie.gouv.fr/pratique/bdousage/index.php

7.11. Croatia
Ministry of Foreign and European Affairs
Sector for Trade Policy and Economic Multilateral Relations
Licencing Division
Trg N. Š. Zrinskog 7-8
10000 Zagreb
CROATIA
Tel. +385 1644625, 626, 627, 628, +385 14569964
Fax +385 1644601, +385 14551795
E-mail: kontrola.izvoza@mvep.hr
Website: http://gd.mvep.hr/hr/kontrola-izvoza/

7.12. Italy
Ministry of Economic Development
Direction General for International Trade Policy
Export Control Unit
Viale Boston, 25
00144 Roma
ITALY
Tel. +39 0659932439
Fax +39 0659647506
Email: polcom4@mise.gov.it, massimo.cipolletti@mise.gov.it
Website: http://www.mise.gov.it/index.php/it/commercio-internazionale/import-export/dual-use

7.13. Cyprus
Ministry of Energy, Commerce, Industry and Tourism
6, Andrea Araouzou
1421 Nicosia
CYPRUS
Tel. +357 22867100, 22867332, 22867197
Fax +357 22375120, 22375443
E-mail: Perm.sec@mcit.gov.cy, pevgeniou@mcit.gov.cy, xxenopoulos@mcit.gov.cy
Website: http://www.mcit.gov.cy/ts

7.14. Latvia
Control Committee for Strategic Goods
Chairman of the Committee: Mr Andris Teikmanis
Executive Secretary: Ms Agnese Kalnina
Ministry of Foreign Affairs
3, K. Valdemara street
Riga, LV-1395
LATVIA
Tel. +371 67016426
Fax +371 67284836
E-mail: agnese.kalnina@mfa.gov.lv
7.15. Lithuania

Authorities empowered to grant export authorisations for dual-use items and authorities empowered to grant authorisations for the provision of brokering services:

Ministry of Economy of the Republic of Lithuania
Gedimino ave. 38/Vasario 16 st.2
LT-01104 Vilnius
LITHUANIA
Contact details:
Export Division
Department of Investment and Export
Tel. +370 70664680
E-mail: vienaslangelis@ukmin.lt

Authority empowered to prohibit the transit of non-Community dual-use items:

Customs Department under the Ministry of Finance of the Republic of Lithuania
A. Jaksto str. 1/25
LT-01105 Vilnius
LITHUANIA
Contact details:
Customs Criminal Service
Tel. +370 52616960
E-mail: budetmd@cust.lt

7.16. Luxembourg

Ministère de l’Économie
Office des licences/Contrôle à l’exportation
19-21, boulevard Royal
2449 Luxembourg
LUXEMBOURG
Postal address:
BP 113
2011 Luxembourg
LUXEMBOURG
Tel. +352 226162
Fax +352 466138
E-mail: office.licences@eco.etat.lu
Website: http://www.eco.public.lu/attributions/dg1/d_commerce_exterieur/office_licences/index.html

7.17. Hungary

Hungarian Trade Licensing Office
Authority of Defence Industry and Export controls
Magyar Kereskedelmi Engedélyezési Hivatal
Haditechnikai és Exportellenőrzési Hatóság
Budapest
1124
HUNGARY
Tel. +36 14585583
Fax +36 14585869
E-mail: eei@mkeh.gov.hu
Website: www.mkeh.gov.hu

7.18. Malta

Commerce Department
Mr Brian Montebello
Trade Services
MALTA
Tel. +356 25690214
Fax +356 21240516
E-mail: brian.montebello@gov.mt
Website: http://www.commerce.gov.mt/trade_dualitems.asp
7.19. The Netherlands
Ministry for Foreign Affairs
Directorate-General for International Relations
Department for Trade Policy and Economic Governance
PO Box 20061
2500 EB The Hague
THE NETHERLANDS
Tel. +31 703485954

Dutch Customs/Central Office for Import and Export
PO Box 30003
9700 RD Groningen
THE NETHERLANDS
Tel. +31 881512400
Fax +31 881513182
E-mail: DRN-CDIU.groningen@belastingdienst.nl
Website: www.rijksoverheid.nl/exportcontrole

7.20. Austria
Federal Ministry of Science, Research and Economy
Division for Foreign Trade Administration
Stubenring 1
1010 Vienna
AUSTRIA
Tel. +43 1711002335
Fax +43 1711008366
E-mail: werner.haider@bmwfw.gv.at, POST.C29@bmwfw.gv.at
Website: www.bmwfw.gv.at

7.21. Poland
Ministry of Economic Development
Department for Trade in Sensitive Goods and Technical Safety
Pl. Trzech Krzyzy 3/5
00-507 Warszawa
POLAND
Tel. +48 226935445 (sekretariat)
Fax +48 226934034
E-mail: SekretariatDOT@mr.gov.pl
Websites:

7.22. Portugal
Autoridade Tributária e Aduaneira
Customs and Taxes Authority
Rua da Alfândega, 5
1049-006 Lisboa
PORTUGAL
Director: Luísa Nobre; Licence Officer: Maria Oliveira
Tel. +351 218813843
Fax +351 218813986
E-mail: dsl@at.gov.pt
Website: http://www.dgaiec.min-financas.pt/pt/licenciamento/bens_tecnologias_duplo_uso/bens_tecnologias_duplo_uso.htm
7.23. **Romania**

Ministry of Foreign Affairs  
Department for Export Controls — ANCEX  
Str. Polonă nr. 8, sector 1  
010501, București  
ROMANIA  
Tel. +40 374306950  
Fax +40 374306924  
E-mail: dancex@mae.ro, dan.marian@mae.ro  
Website: www.ancex.ro

7.24. **Slovenia**

Ministry of Economic Development and Technology  
Kotnikova 5  
SI-1000 Ljubljana  
SLOVENIA  
Tel. +386 14003521  
Fax +386 14003611  
E-mail: gp.mg@gov.si, dvojna-raba.mg@gov.si  
Website: http://www.mgrt.gov.si/si/delovna_podrocja/trgovinska_politika/nadzor_nad_blagom_in_tehnologijami_z_dvojno_rabo/

7.25. **Slovakia**

For the purposes of Article 9(6)(a) and Article 10(4) of the Regulation:

Ministry of Economy of the Slovak Republic  
Department of Trade Measures  
Mierová 19  
827 15 Bratislava 212  
SLOVAKIA  
Tel. +421 248547019  
Fax +421 243423915  
E-mail: jan.krocka@economy.gov.sk  
Website: www.economy.gov.sk

For the purpose of Article 9(6)(b) of the Regulation:

Criminal Office of the Financial Administration  
Department of Drugs and Hazardous materials  
Coordination Unit  
Bajkalská 24  
824 97 Bratislava  
SLOVAKIA  
Tel. +421 258251221  
E-mail: Jozef.Pullmann@financnasprava.sk

7.26. **Finland**

Ministry for Foreign Affairs of Finland  
Export Control Unit  
Laivastokatu 22  
FI-00160 HELSINKI  
Postal address:  
PO Box 428  
FI-00023 GOVERNMENT  
FINLAND  
Tel. +358 295350000  
E-mail: vientivalvonta.um@formin.fi  
Website: http://formin.finland.fi/vientivalvonta
7.27. **Sweden**

1. Inspectorate of Strategic Products (ISP) Inspektionen för strategiska produkter

Visiting address:

Gullfossgatan 6, Kista
SE-164 90 Stockholm
SWEDEN

Tel. +46 84063100
Fax +46 84203100
E-mail: registrator@isp.se
Website: http://www.isp.se/

ISP is empowered to grant authorisations in all cases except those listed under 2 below.

2. Swedish Radiation Safety Authority (Strålsäkerhetsmyndigheten) Section of Nuclear Non-proliferation and Security

Solna strandväg 96
SE-171 16 Stockholm
SWEDEN

Tel. +46 87994000
Fax +46 87994010
E-Mail: registrator@ssm.se
Website: http://www.ssm.se

The Swedish Radiation Safety Authority is empowered to grant authorisations on and prohibit transit of products in Annex 1, Category 0, to the Regulation (EC) No 428/2009.

7.28. **United Kingdom**

Department for Business, Innovation and Skills (BIS)
Export Control Organisation
1 Victoria Street
London SW1H 0ET
UNITED KINGDOM

Tel. +44 2072154594
Fax +44 2072154539
E-mail: eco.help@bis.gov.uk
Website: https://www.gov.uk/government/organisations/export-control-organisation

8. **INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 17 OF THE REGULATION (SPECIALY EMPOWERED CUSTOMS OFFICES)**

Article 17 requires Member States to inform the Commission if they have availed themselves of the option to have customs formalities for the export of dual-use items completed only at customs offices empowered to that end.

The table below provides an overview of the measures taken by Member States, as notified to the Commission. Details on the measures are provided thereafter.

<table>
<thead>
<tr>
<th>Member State</th>
<th>Have specific customs offices been designated, in relation with Article 17(1), in which customs formalities for the export of dual-use items may be completed?</th>
</tr>
</thead>
<tbody>
<tr>
<td>BELGIUM</td>
<td>NO</td>
</tr>
<tr>
<td>BULGARIA</td>
<td>YES</td>
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<tr>
<td>Member State</td>
<td>Have specific customs offices been designated, in relation with Article 17(1), in which customs formalities for the export of dual-use items may be completed?</td>
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<tr>
<td>CZECH REPUBLIC</td>
<td>NO</td>
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<tr>
<td>DENMARK</td>
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<td>ESTONIA</td>
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<td>NO</td>
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<tr>
<td>SWEDEN</td>
<td>NO</td>
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<tr>
<td>UNITED KINGDOM</td>
<td>NO</td>
</tr>
</tbody>
</table>

8.1. **Bulgaria**

The territorial customs offices of the Republic of Bulgaria for strategic goods have been approved by the General Director of the Customs Agency under Ministry of Finance Order No 55/32-11385 of 14 January 2016 (Official Gazette 9/2016). The list of customs offices in Bulgaria through which dual-use items and technologies may leave or enter the EU customs territory can be found on the following websites:

http://www.exportcontrol.bg/docs/Customs_posts_of_the_Republic_of_Bulgaria_for_defence-related%20products_DU.pdf

8.2. **Estonia**

The list of customs offices in Estonia through which dual-use items and technologies may leave or enter the EU customs territory can be found on the following website:

http://www.emta.ee/index.php?id=24795

8.3. **Latvia**

The list of customs offices in Latvia through which dual-use items and technologies may leave or enter the EU customs territory can be found on the following website:


8.4. **Lithuania**

Territorial customs offices of the Republic of Lithuania for strategic goods were approved by Order Nr. 1B393 of the Director General of the Customs Department under the Ministry of Finance dated 11 June 2010. The list of customs offices in Lithuania through which dual-use items and technologies may leave or enter the EU customs territory is as follows:

1. **VILNIUS CUSTOMS DISTRICT**
   1.1. VILNIUS AIRPORT POST, RODŪNIOS KELIAS 2, VILNIUS (VA10/LTVA1000)
   1.2. VILNIUS POST OFFICE POST, RODŪNIOS KELIAS 9, VILNIUS (VP10/LTVP1000)
   1.3. KENA RAILWAY POST, KALVELIŲ K., VILNIAUS R. (VG10/LTVG1000)
   1.4. VAIDOTAI RAILWAY POST, EIŠIŠKIŲ PLENTAS 100, VILNIUS (VG20/LTVG2000)
   1.5. MEDININKAI ROAD POST, KELIAS A3, VILNIAUS R. (VK20/LTVK2000)
   1.6. ŠALČININKAI ROAD POST, KELIAS 104, ŠALČININKŲ R. (VK30/LTVK3000)
   1.7. VILNIUS-KIRTIMAI CARGO POST, METALO G. 2A, VILNIUS (VR30/LTVR3000)
   1.8. VILNIUS-SAVANORIJA CARGO POST, SAVANORIŲ PR. 174A, VILNIUS (VR10/LTVR1000)
   1.9. UTENA CARGO POST, PRAMONĖS G. 5, UTENA (PR40/LTPR4000)

2. **KAUNAS CUSTOMS DISTRICT**
   2.1. KAUNAS AIRPORT POST, KARMĖLAVA, KAUNO R. (KA10/LTKA1000)
   2.2. KYBARTAI RAILWAY POST, KUDIRKOS NAUMIESČIO G. 4, KYBARTAI, VILKAVIŠKIO R. (KG30/LTKG3000)
   2.3. KYBARTAI ROAD POST, KELIAS A7, J.BASANAVIČIAUS G. 1, KYBARTAI, VILKAVIŠKIO R. (KK20/LTKK2000)
   2.4. KAUNAS-CENTRĖ CARGO POST, JOVARŲ G. 3, KAUNAS (KR10/LTKR1000)
   2.5. PANEMUNĖS CARGO POST, RAMYGALOS G. 151, PANEMUNĖS (PR20/LTPR2000)

3. **KLAIPĖDA CUSTOMS DISTRICT**
   3.1. PALANGA AIRPORT POST, LIEPOJOS PL. 1, PALANGA (LA10/LTLA1000)
   3.2. PANEMUNĖ ROAD POST, KELIAS A12, DONELAICIČIO G., PANEMUNĖ, ŠILUTĖS R. (LK40/LTLK4000)
   3.3. KLAIPĖDA CARGO POST, ŠILUTĖS PL. 9, KLAIPĖDA (LR10/LTLR1000)
3.4. MALKAI SEAPORT POST, PERKĖLOS G. 10, KLAIPĖDA (LU90/LTLU9000)
3.5. MOLAS SEAPORT POST, NAUJOJI UOSTO G. 23, KLAIPĖDA (LUA0/LTLUA000)
3.6. PILIS SEAPORT POST, NEMUNO G. 24, KLAIPĖDA (LUB0/LTLUB000)
3.7. ŠIAULIAI AIRPORT POST, LAKŪNU G. 4, ŠIAULIAI (SA10/LTSA1000)
3.8. RADVILIŠKIS RAILWAY POST, GELEŽINKELIO KALNELIS, RADVILIŠKIS (SG30/LTSG3000)
3.9. ŠIAULIAI CARGO POST, METALISTŲ G. 4, ŠIAULIAI (SR10/LTSR1000)

8.5. **Poland**

The list of customs offices in Poland through which dual-use items and technologies may leave or enter the EU customs territory can be found on the following website:

http://isap.sejm.gov.pl/DetailsServlet?id=WDU20150000136&min=1

8.6. **Romania**

The list of customs offices in Romania through which dual-use items and technologies may leave or enter the EU customs territory can be found on the following websites:


9. **INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 22(5) OF THE REGULATION (INTRA-COMMUNITY TRANSFERS)**

Article 22(5) stipulates that Member States imposing an authorisation requirement for the transfer from their territory to another Member State of items not listed in Annex IV to the Regulation (Annex IV lists items which do not benefit from freedom of movement in the single market) must inform the Commission, which must in turn publish this information in the *Official Journal of the European Union*.

The table below provides an overview of the measures taken by Member States, as notified to the Commission. Details on the measures are provided thereafter.

<table>
<thead>
<tr>
<th>Member State</th>
<th>Have specific measures been taken to extend intra-EU transfer controls in relation with Article 22(2)?</th>
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<tbody>
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<tr>
<td>BULGARIA</td>
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<tr>
<td>UNITED KINGDOM</td>
<td>YES</td>
</tr>
</tbody>
</table>

9.1. **Bulgaria**

Bulgaria has extended intra-EU transfer controls as set out in Article 22(2) of Regulation (EC) No 428/2009 and has introduced a requirement for additional information to be provided to the competent authorities concerning certain intra-EU transfers as set out in Article 22(9) of Regulation (EC) No 428/2009.

(Article 51, paragraph 8 and paragraph 9 of the Defence-Related Products and Dual-Use Items and Technologies Export Control Act, State Gazette No. 26/29.03.2011, effective 30.06.2012).

9.2. **Czech Republic**

Act No 594/2004 Coll. extends controls with regard to intra-EU transfers from the Czech Republic as set out in Article 22(2) of Regulation (EC) No 428/2009.

9.3. **Germany**

Section 11 of the Foreign Trade and Payments Regulation of 2 August 2013 (Aussenwirtschaftsverordnung — AWV) extends controls with regard to intra-EU transfers from Germany as set out in Article 22(2) of Regulation (EC) No 428/2009.

9.4. **Estonia**

The Strategic Goods Act §3(6) extends controls with regard to intra-EU transfers as stipulated in Article 22(2) of Regulation (EC) No 428/2009.

9.5. **Greece**

Section 3.4 of Ministerial Decision No 121837/E3/21837 of 28 September 2009 extends controls with regard to intra-EU transfers from Greece as set out in Article 22(2) of Regulation (EC) No 428/2009.
9.6. **Hungary**

Paragraph 16 of the Government Decree No 13 of 2011 ‘on the foreign trade authorisation of dual-use items’ adopts licensing requirement on listed dual-use items for transfers within the EU if the conditions stipulated in Article 22(2) of Regulation (EC) No 428/2009 apply.

9.7. **The Netherlands**

An authorisation requirement for intra-EU transfers may be imposed in individual cases with regard to dual-use items. (Article 4a(3) of the Decree for Strategic Goods — Besluit strategische goederen).

9.8. **Slovakia**

Paragraph 23(2) of the Act No 39/2011 Coll. extends controls with regard to intra-EU transfers from the Slovak Republic, as set out in Article 22(2) of Regulation (EC) No 428/2009.

9.9. **United Kingdom**

Article 7 of the Export Control Order 2008 extends controls with regard to intra-EU transfer from the UK, as set out in Article 22(2) of Regulation (EC) No 428/2009.